

Double-membership graphs of models of Anti-Foundation

Rosario Mennuni

joint work with Bea Adam-Day and John Howe

University of Leeds

Logic Seminar

Manchester, 25th September 2019

SPAM

BPGMTC20

(British Postgraduate Model Theory Conference)

Leeds, 8–10 January 2020

www.tinyurl.com/BPGMTC20

FREE accommodation provided*

* Offer subject to availability.

In this talk

A model-theoretic look at certain graphs arising from a non-well-founded set theory.

In this talk

A model-theoretic look at certain graphs arising from a non-well-founded set theory.

Main point

In models of Anti-Foundation, the relation $x \in y \in x$ encodes plenty of information.

In this talk

A model-theoretic look at certain graphs arising from a non-well-founded set theory.

Main point

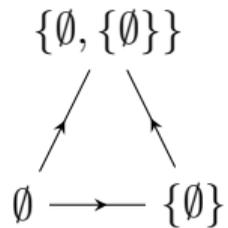
In models of Anti-Foundation, the relation $x \in y \in x$ encodes plenty of information.

Plan of the talk:

- Set-up: double-membership graphs; Anti-Foundation.
- Untameness: why these graphs are (very) wild.
- Games: how ideas from finite model theory help.

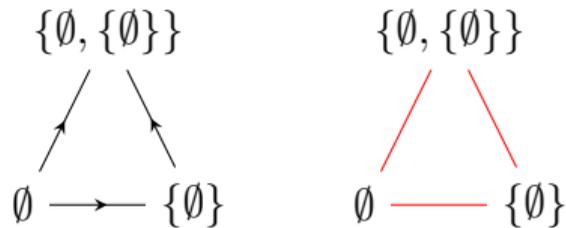
Membership graphs

A model M of set theory is a digraph.



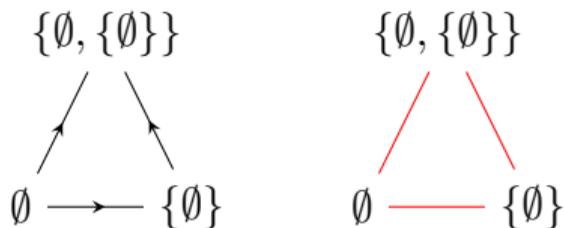
Membership graphs

A model M of set theory is a digraph. Let M_S be its symmetrisation.



Membership graphs

A model M of set theory is a digraph. Let M_S be its symmetrisation.



Fact (Folklore (Gaifman?))

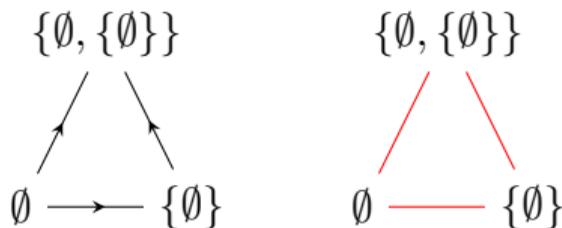
If $M \models \text{ZFC}$ is countable, then M_S is the Random Graph.

Proof.

Show that M_S satisfies the Random Graph axioms. □

Membership graphs

A model M of set theory is a digraph. Let M_S be its symmetrisation.



Fact (Folklore (Gaifman?))

If $M \models \text{ZFC}$ is countable, then M_S is the Random Graph.

Proof.

Show that M_S satisfies the Random Graph axioms. □

How much set theory does M need? Emptyset, Pairing, Union, and **Foundation**.

Foundation: no infinite descending \in -sequences. In particular, no $x \in x$, no $x \in y \in x$.

What happens without Foundation?

Double-membership

Definition

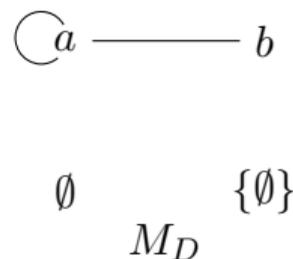
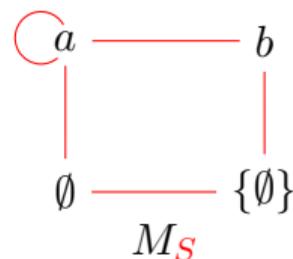
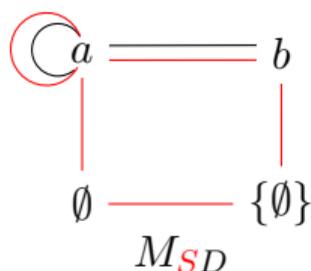
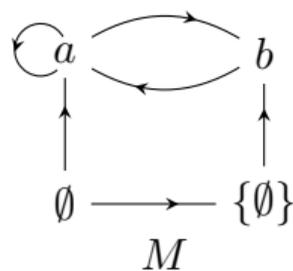
Let M be an $\{\in\}$ -structure. $S(x, y) := x \in y \vee y \in x$ $D(x, y) := x \in y \wedge y \in x$.
Double-membership graph M_D : reduct of M to $\{D\}$. Similarly for M_{SD} .

Double-membership

Definition

Let M be an $\{\in\}$ -structure. $S(x, y) := x \in y \vee y \in x$ $D(x, y) := x \in y \wedge y \in x$.

Double-membership graph M_D : reduct of M to $\{D\}$. Similarly for M_{SD} .

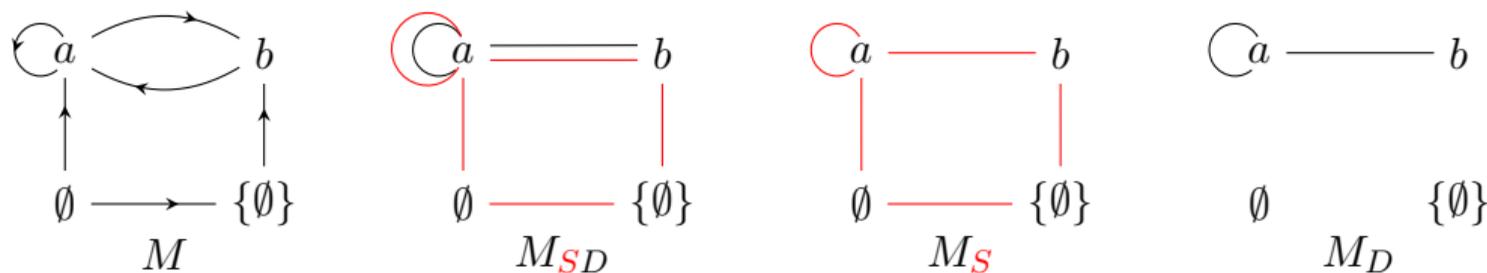


Double-membership

Definition

Let M be an $\{\in\}$ -structure. $S(x, y) := x \in y \vee y \in x$ $D(x, y) := x \in y \wedge y \in x$.

Double-membership graph M_D : reduct of M to $\{D\}$. Similarly for M_{SD} .

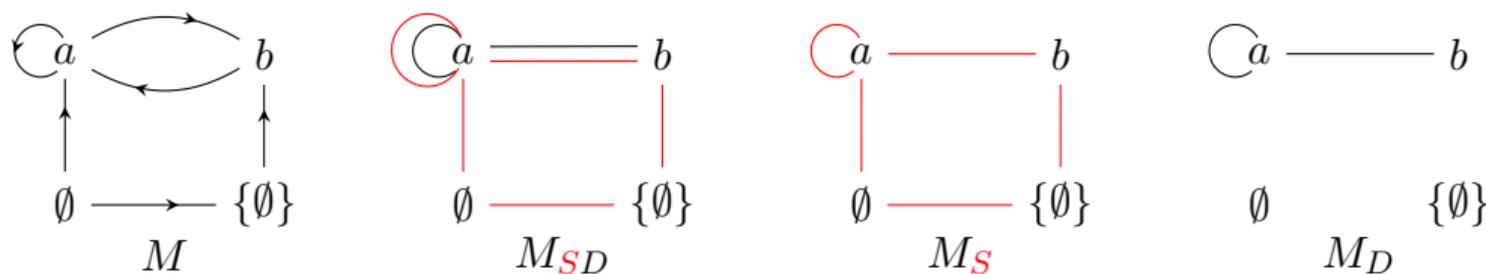


From now on graph=loopy graph: points are allowed to have an edge to themselves.

Double-membership

Definition

Let M be an $\{\in\}$ -structure. $S(x, y) := x \in y \vee y \in x$ $D(x, y) := x \in y \wedge y \in x$.
Double-membership graph M_D : reduct of M to $\{D\}$. Similarly for M_{SD} .



From now on graph=loopy graph: points are allowed to have an edge to themselves.

Proposition (Adam-Day, Howe, M.)

Let G be a graph in $M \models \text{ZFC}$. There is $N \models \text{ZFC} \setminus \{\text{Foundation}\}$ such that N_D is isomorphic to G plus infinitely many isolated points. In particular M_S can have an arbitrary number of points with loops. Proof

Anti-Foundation Axiom

So we need structure. **AFA**: allow non-well-founded sets (e.g. $x \in x$), but in a way controlled by the well-founded ones. Allow ‘Mostowski collapse for all relations’.

Anti-Foundation Axiom

So we need structure. **AFA**: allow non-well-founded sets (e.g. $x \in x$), but in a way controlled by the well-founded ones. Allow ‘Mostowski collapse for all relations’.

Definition

Let X be set of ‘indeterminates’, A a set of sets. A *flat system of equations* is a set of equations of the form $x = S_x$, where $S_x \subseteq X \cup A$. *Solution*: what you expect.

Anti-Foundation Axiom

So we need structure. **AFA**: allow non-well-founded sets (e.g. $x \in x$), but in a way controlled by the well-founded ones. Allow ‘Mostowski collapse for all relations’.

Definition

Let X be set of ‘indeterminates’, A a set of sets. A *flat system of equations* is a set of equations of the form $x = S_x$, where $S_x \subseteq X \cup A$. *Solution*: what you expect.

Example

$X = \{x, y\}$, $A = \{\emptyset, \{\emptyset\}\}$, equations $x = \{x, y, \emptyset\}$ and $y = \{x, \{\emptyset\}\}$.

Anti-Foundation Axiom

So we need structure. **AFA**: allow non-well-founded sets (e.g. $x \in x$), but in a way controlled by the well-founded ones. Allow ‘Mostowski collapse for all relations’.

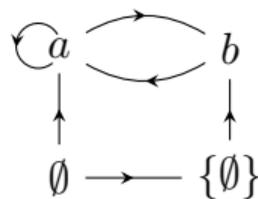
Definition

Let X be set of ‘indeterminates’, A a set of sets. A *flat system of equations* is a set of equations of the form $x = S_x$, where $S_x \subseteq X \cup A$. *Solution*: what you expect.

Example

$X = \{x, y\}$, $A = \{\emptyset, \{\emptyset\}\}$, equations $x = \{x, y, \emptyset\}$ and $y = \{x, \{\emptyset\}\}$.

A solution is $x \mapsto a$, $y \mapsto b$ as in:



Anti-Foundation Axiom

So we need structure. **AFA**: allow non-well-founded sets (e.g. $x \in x$), but in a way controlled by the well-founded ones. Allow ‘Mostowski collapse for all relations’.

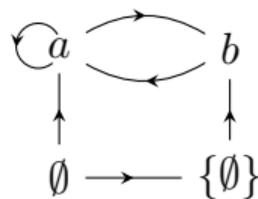
Definition

Let X be set of ‘indeterminates’, A a set of sets. A *flat system of equations* is a set of equations of the form $x = S_x$, where $S_x \subseteq X \cup A$. *Solution*: what you expect.

Example

$X = \{x, y\}$, $A = \{\emptyset, \{\emptyset\}\}$, equations $x = \{x, y, \emptyset\}$ and $y = \{x, \{\emptyset\}\}$.

A solution is $x \mapsto a$, $y \mapsto b$ as in:



Anti-Foundation Axiom: ‘every flat system has a unique solution’.

ZFA is ZFC with Foundation replaced by Anti-Foundation.

Anti-Foundation Axiom

So we need structure. **AFA**: allow non-well-founded sets (e.g. $x \in x$), but in a way controlled by the well-founded ones. Allow ‘Mostowski collapse for all relations’.

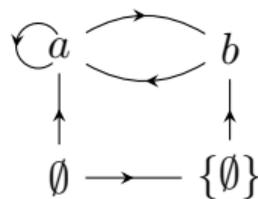
Definition

Let X be set of ‘indeterminates’, A a set of sets. A *flat system of equations* is a set of equations of the form $x = S_x$, where $S_x \subseteq X \cup A$. *Solution*: what you expect.

Example

$X = \{x, y\}$, $A = \{\emptyset, \{\emptyset\}\}$, equations $x = \{x, y, \emptyset\}$ and $y = \{x, \{\emptyset\}\}$.

A solution is $x \mapsto a$, $y \mapsto b$ as in:



Anti-Foundation Axiom: ‘every flat system has a unique solution’.

ZFA is ZFC with Foundation replaced by Anti-Foundation.

Fact (Aczel; Forti, Honsell)

ZFA is equiconsistent with ZFC.

Summary of results

Starting point:

Theorem (Adam-Day, Cameron)

If $M \models \text{ZFA}$ is countable, then M_S is the Fraïssé limit of finite loopy graphs. M_{SD} and M_D are not ω -categorical: every finite graph embeds as a union of connected components in M_D .

Summary of results

Starting point:

Theorem (Adam-Day, Cameron)

If $M \models \text{ZFA}$ is countable, then M_S is the Fraïssé limit of finite loopy graphs. M_{SD} and M_D are not ω -categorical: every finite graph embeds as a union of connected components in M_D .

Questions that were asked:

1. Are there infinitely many countable models of $\text{Th}(M_{SD})$? Of $\text{Th}(M_D)$?
2. Are there infinitely many countable M_{SD} ? M_D ?
3. Infinite connected components of M_D ?
4. ZFA with Infinity replaced by its negation?
5. $M_{SD} \equiv N$, both countable. Is N an SD-graph? Same for M_D .

Summary of results

Starting point:

Theorem (Adam-Day, Cameron)

If $M \models \text{ZFA}$ is countable, then M_S is the Fraïssé limit of finite loopy graphs. M_{SD} and M_D are not ω -categorical: every finite graph embeds as a union of connected components in M_D .

Questions that we study:

1. Are there infinitely many countable models of $\text{Th}(M_{SD})$? Of $\text{Th}(M_D)$?
2. Are there infinitely many countable M_{SD} ? M_D ?
3. Infinite connected components of M_D ?

5. $M_{SD} \equiv N$, both countable. Is N an SD-graph? Same for M_D .
6. Is $\text{Th}(\{M_D \mid M \models \text{ZFA}\})$ complete?

Summary of results

Starting point:

Theorem (Adam-Day, Cameron)

If $M \models \text{ZFA}$ is countable, then M_S is the Fraïssé limit of finite loopy graphs. M_{SD} and M_D are not ω -categorical: every finite graph embeds as a union of connected components in M_D .

Questions that we study:

1. Are there infinitely many countable models of $\text{Th}(M_{SD})$? Of $\text{Th}(M_D)$? **Yes.**
2. Are there infinitely many countable M_{SD} ? M_D ? **Yes.**
3. Infinite connected components of M_D ? **Basically arbitrary.**
5. $M_{SD} \equiv N$, both countable. Is N an SD-graph? Same for M_D . **No.**
6. Is $\text{Th}(\{M_D \mid M \models \text{ZFA}\})$ complete? **No. Completions characterised.**

Summary of results

Starting point:

Theorem (Adam-Day, Cameron)

If $M \models \text{ZFA}$ is countable, then M_S is the Fraïssé limit of finite loopy graphs. M_{SD} and M_D are not ω -categorical: every finite graph embeds as a union of connected components in M_D .

Questions that we study:

1. Are there infinitely many countable models of $\text{Th}(M_{SD})$? Of $\text{Th}(M_D)$? **Yes.**
2. Are there infinitely many countable M_{SD} ? M_D ? **Yes.**
3. Infinite connected components of M_D ? **Basically arbitrary.**
5. $M_{SD} \equiv N$, both countable. Is N an SD-graph? Same for M_D . **No.**
6. Is $\text{Th}(\{M_D \mid M \models \text{ZFA}\})$ complete? **No. Completions characterised.**

Connected components and non-smallness

Theorem (Adam-Day, Howe, M.)

Any graph of $M \models \text{ZFA}$ is isomorphic to a union of connected components of M_D .

Connected components and non-smallness

Theorem (Adam-Day, Howe, M.)

Any graph of $M \models \text{ZFA}$ is isomorphic to a union of connected components of M_D .

Proof.

WLOG $\text{dom } G = \kappa$. Take a solution to $x_i = \{i, x_j \mid j \in \kappa, G \models R(i, j)\} (i \in \kappa)$. □

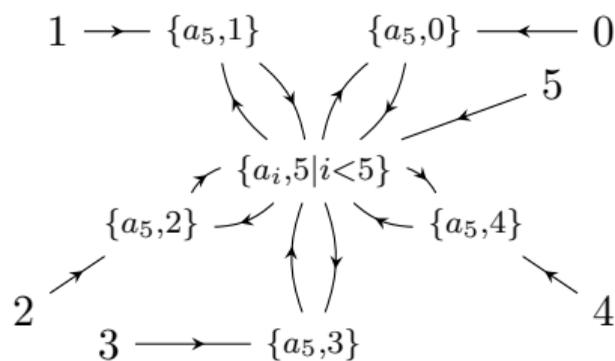
Connected components and non-smallness

Theorem (Adam-Day, Howe, M.)

Any graph of $M \models \text{ZFA}$ is isomorphic to a union of connected components of M_D .

Proof.

WLOG $\text{dom } G = \kappa$. Take a solution to $x_i = \{i, x_j \mid j \in \kappa, G \models R(i, j)\} (i \in \kappa)$. □



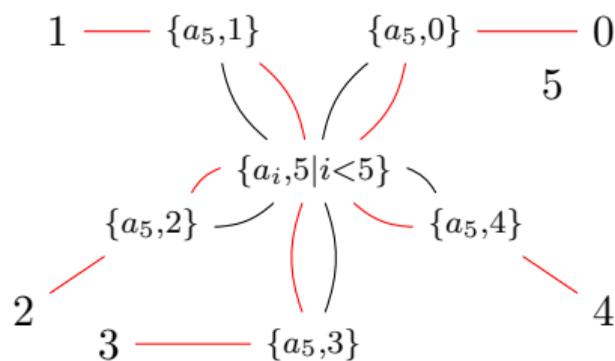
Connected components and non-smallness

Theorem (Adam-Day, Howe, M.)

Any graph of $M \models \text{ZFA}$ is isomorphic to a union of connected components of M_D .

Proof.

WLOG $\text{dom } G = \kappa$. Take a solution to $x_i = \{i, x_j \mid j \in \kappa, G \models R(i, j)\} (i \in \kappa)$. □



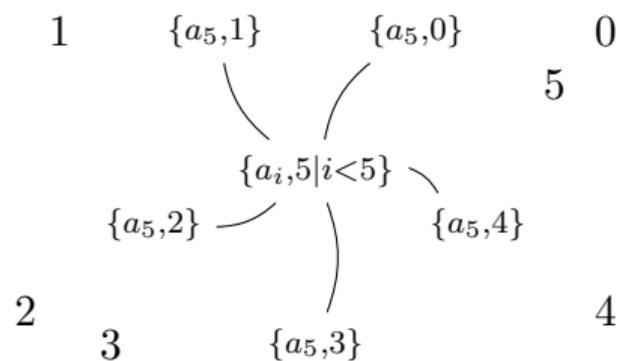
Connected components and non-smallness

Theorem (Adam-Day, Howe, M.)

Any graph of $M \models \text{ZFA}$ is isomorphic to a union of connected components of M_D .

Proof.

WLOG $\text{dom } G = \kappa$. Take a solution to $x_i = \{i, x_j \mid j \in \kappa, G \models R(i, j)\} (i \in \kappa)$. □



□

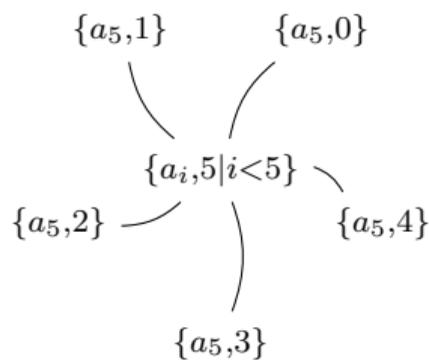
Connected components and non-smallness

Theorem (Adam-Day, Howe, M.)

Any graph of $M \models \text{ZFA}$ is isomorphic to a union of connected components of M_D .

Proof.

WLOG $\text{dom } G = \kappa$. Take a solution to $x_i = \{i, x_j \mid j \in \kappa, G \models R(i, j)\} (i \in \kappa)$. □



Connected components and non-smallness

Theorem (Adam-Day, Howe, M.)

Any graph of $M \models \text{ZFA}$ is isomorphic to a union of connected components of M_D .

Proof.

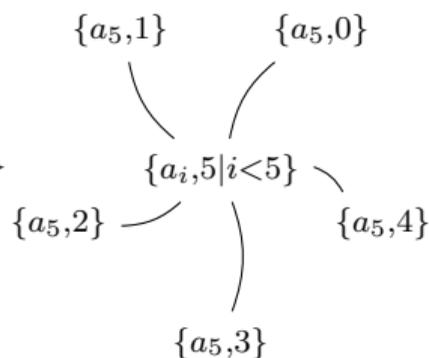
WLOG $\text{dom } G = \kappa$. Take a solution to $x_i = \{i, x_j \mid j \in \kappa, G \models R(i, j)\} (i \in \kappa)$. □

Why not just $x_i = \{x_j \mid j \in \kappa, G \models R(i, j)\}$?

Solutions need not be injective: if $x \mapsto a$ solves $x = \{x\}$

then $x = \{y\}$, $y = \{x\}$ is solved by $x \mapsto a$, $y \mapsto a$,

and solutions are unique.



Connected components and non-smallness

Theorem (Adam-Day, Howe, M.)

Any graph of $M \models \text{ZFA}$ is isomorphic to a union of connected components of M_D .

Proof.

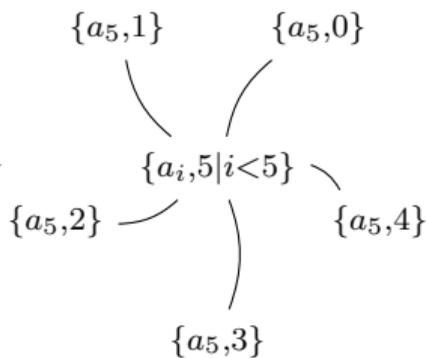
WLOG $\text{dom } G = \kappa$. Take a solution to $x_i = \{i, x_j \mid j \in \kappa, G \models R(i, j)\} (i \in \kappa)$. □

Why not just $x_i = \{x_j \mid j \in \kappa, G \models R(i, j)\}$?

Solutions need not be injective: if $x \mapsto a$ solves $x = \{x\}$

then $x = \{y\}$, $y = \{x\}$ is solved by $x \mapsto a$, $y \mapsto a$,

and solutions are unique.



Corollary (Adam-Day, Howe, M.)

There are 2^{\aleph_0} countable M_D . Each of their theories has 2^{\aleph_0} countable models.

Proof.

For every $A \subseteq \omega \setminus \{0\}$, consider ‘I have a neighbour of degree n iff $n \in A$ ’. □

The root of all evil

It turns out that M_D is horribly complicated. This is the main reason.

Definition

Let φ be a $\{D\}$ -sentence implying D is symmetric. Relativise $\exists y$ and $\forall y$ to $D(x, y)$ and call the result $\chi(x)$. Define $\mu(\varphi) := \exists x (\neg D(x, x) \wedge \chi(x))$.

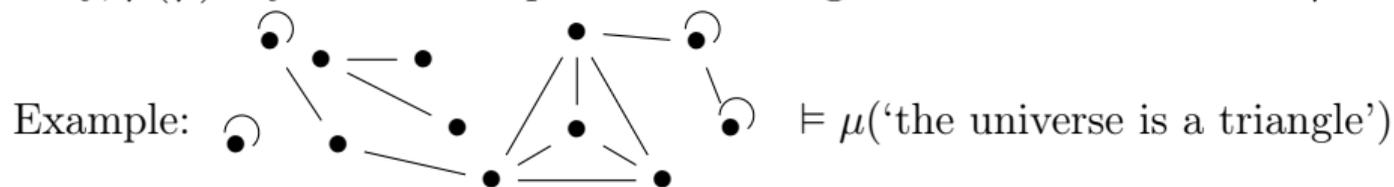
The root of all evil

It turns out that M_D is horribly complicated. This is the main reason.

Definition

Let φ be a $\{D\}$ -sentence implying D is symmetric. Relativise $\exists y$ and $\forall y$ to $D(x, y)$ and call the result $\chi(x)$. Define $\mu(\varphi) := \exists x (\neg D(x, x) \wedge \chi(x))$.

Intuitively, $\mu(\varphi)$ says ‘there is a point whose neighbours form a model of φ ’.



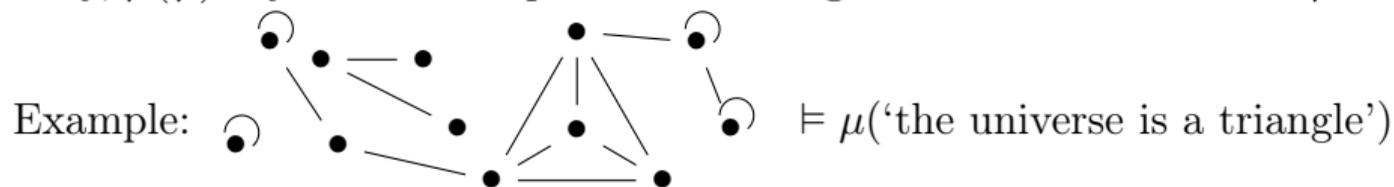
The root of all evil

It turns out that M_D is horribly complicated. This is the main reason.

Definition

Let φ be a $\{D\}$ -sentence implying D is symmetric. Relativise $\exists y$ and $\forall y$ to $D(x, y)$ and call the result $\chi(x)$. Define $\mu(\varphi) := \exists x (\neg D(x, x) \wedge \chi(x))$.

Intuitively, $\mu(\varphi)$ says ‘there is a point whose neighbours form a model of φ ’.



Lemma (Adam-Day, Howe, M.)

$$M_D \models \mu(\varphi) \Leftrightarrow M \models \text{Con}(\varphi)$$

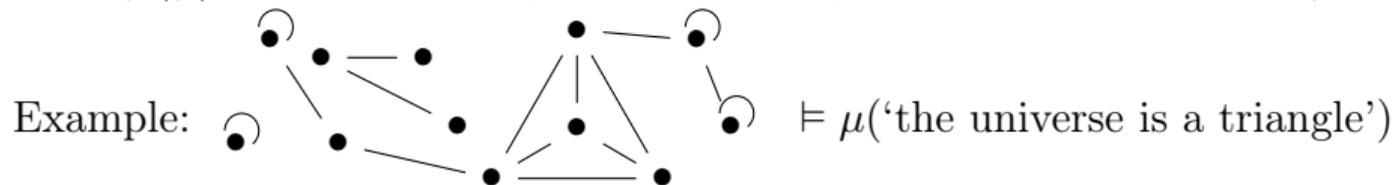
The root of all evil

It turns out that M_D is horribly complicated. This is the main reason.

Definition

Let φ be a $\{D\}$ -sentence implying D is symmetric. Relativise $\exists y$ and $\forall y$ to $D(x, y)$ and call the result $\chi(x)$. Define $\mu(\varphi) := \exists x (\neg D(x, x) \wedge \chi(x))$.

Intuitively, $\mu(\varphi)$ says ‘there is a point whose neighbours form a model of φ ’.



Lemma (Adam-Day, Howe, M.)

$M_D \models \mu(\varphi) \Leftrightarrow M \models \text{Con}(\varphi) \Rightarrow$ A union of connected components of M_D satisfies φ .

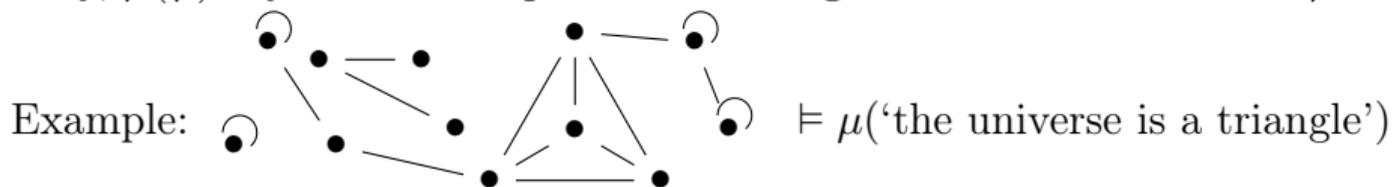
The root of all evil

It turns out that M_D is horribly complicated. This is the main reason.

Definition

Let φ be a $\{D\}$ -sentence implying D is symmetric. Relativise $\exists y$ and $\forall y$ to $D(x, y)$ and call the result $\chi(x)$. Define $\mu(\varphi) := \exists x (\neg D(x, x) \wedge \chi(x))$.

Intuitively, $\mu(\varphi)$ says ‘there is a point whose neighbours form a model of φ ’.



Lemma (Adam-Day, Howe, M.)

$M_D \models \mu(\varphi) \Leftrightarrow M \models \text{Con}(\varphi) \Rightarrow$ A union of connected components of M_D satisfies φ .

Proof.

Add/remove a point to/from a graph and use the previous theorem. □

The evil that graphs do

Corollary (Adam-Day, Howe, M.)

$\text{Th}(M_D)$ interprets with parameters arbitrary finite fragments of ZFC.
In particular it has SOP, TP_2 , IP_k for all k , you name it.

Corollary (Adam-Day, Howe, M.)

$\text{Th}(\{M_D \mid M \models \text{ZFA}\})$ is not complete.

The evil that graphs do

Corollary (Adam-Day, Howe, M.)

$\text{Th}(M_D)$ interprets with parameters arbitrary finite fragments of ZFC.
In particular it has SOP, TP_2 , IP_k for all k , you name it.

Corollary (Adam-Day, Howe, M.)

$\text{Th}(\{M_D \mid M \models \text{ZFA}\})$ is not complete.

Proof.

1. Rosser: there is a Π_1^0 arithmetical statement independent of ZFC/ZFA.

Rosser's Theorem=Refined version of Gödel Incompleteness.

2. Friedman-Harrington: every Π_1^0 statement is equivalent to some $\text{Con}(\theta)$.
3. Translate θ into a formula φ of graphs (graphs interpret anything!).
4. Consider $\mu(\varphi)$.



Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.

Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.
- Fix *relational* structures M, N and length n of the game.

Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.
- Fix *relational* structures M, N and length n of the game.
- Turn i : Spoiler plays $a_i \in M$ or $b_i \in N$, Duplicator plays in the other structure.

Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.
- Fix *relational* structures M, N and length n of the game.
- Turn i : Spoiler plays $a_i \in M$ or $b_i \in N$, Duplicator plays in the other structure.
- Duplicator wins iff $\langle a_1, \dots, a_n \rangle \cong \langle b_1, \dots, b_n \rangle$.

Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.
- Fix *relational* structures M, N and length n of the game.
- Turn i : Spoiler plays $a_i \in M$ or $b_i \in N$, Duplicator plays in the other structure.
- Duplicator wins iff $\langle a_1, \dots, a_n \rangle \cong \langle b_1, \dots, b_n \rangle$.

Example



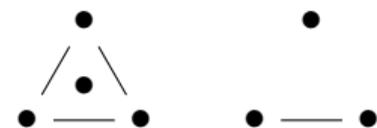
Duplicator has a winning strategy for the game of length 2;

but not for the game of length 3.

Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.
- Fix *relational* structures M, N and length n of the game.
- Turn i : Spoiler plays $a_i \in M$ or $b_i \in N$, Duplicator plays in the other structure.
- Duplicator wins iff $\langle a_1, \dots, a_n \rangle \cong \langle b_1, \dots, b_n \rangle$.

Example



Duplicator has a winning strategy for the game of length 2;

but not for the game of length 3. Same for $(\mathbb{Z}, <)$ and $(\mathbb{Q}, <)$.

Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.
- Fix *relational* structures M, N and length n of the game.
- Turn i : Spoiler plays $a_i \in M$ or $b_i \in N$, Duplicator plays in the other structure.
- Duplicator wins iff $\langle a_1, \dots, a_n \rangle \cong \langle b_1, \dots, b_n \rangle$.

Example



Duplicator has a winning strategy for the game of length 2;

but not for the game of length 3. Same for $(\mathbb{Z}, <)$ and $(\mathbb{Q}, <)$.

Theorem (Ehrenfeucht)

Duplicator has a winning strategy iff $M \equiv_n N$ (formulas of quantifier depth n).

Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.
- Fix *relational* structures M, N and length n of the game.
- Turn i : Spoiler plays $a_i \in M$ or $b_i \in N$, Duplicator plays in the other structure.
- Duplicator wins iff $\langle a_1, \dots, a_n \rangle \cong \langle b_1, \dots, b_n \rangle$.

Example



Duplicator has a winning strategy for the game of length 2;

but not for the game of length 3. Same for $(\mathbb{Z}, <)$ and $(\mathbb{Q}, <)$.

Theorem (Ehrenfeucht)

Duplicator has a winning strategy iff $M \equiv_n N$ (formulas of quantifier depth n).

Fact

\equiv_n -classes are characterised by a single formula. (The language is relational!)

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Proof strategy.

- As the class is pseudoelementary, it is enough to work with M_D, N_D .

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Proof strategy.

- As the class is pseudoelementary, it is enough to work with M_D, N_D .
- Play the Ehrenfeucht-Fraïssé game of length n . Show the Duplicator wins.

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Proof strategy.

- As the class is pseudoelementary, it is enough to work with M_D, N_D .
- Play the Ehrenfeucht-Fraïssé game of length n . Show the Duplicator wins.
 - Take the union of the connected components of a_1, \dots, a_{i-1} in M .

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Proof strategy.

- As the class is pseudoelementary, it is enough to work with M_D, N_D .
- Play the Ehrenfeucht-Fraïssé game of length n . Show the Duplicator wins.
 - Take the union of the connected components of a_1, \dots, a_{i-1} in M .
 - Inductively, they are \equiv_{n-i+2} -equivalent to those of b_1, \dots, b_{i-1} in N .

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Proof strategy.

- As the class is pseudoelementary, it is enough to work with M_D, N_D .
- Play the Ehrenfeucht-Fraïssé game of length n . Show the Duplicator wins.
 - Take the union of the connected components of a_1, \dots, a_{i-1} in M .
 - Inductively, they are \equiv_{n-i+2} -equivalent to those of b_1, \dots, b_{i-1} in N .
 - If the Spoiler plays in an already considered connected component, fine.

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Proof strategy.

- As the class is pseudoelementary, it is enough to work with M_D, N_D .
- Play the Ehrenfeucht-Fraïssé game of length n . Show the Duplicator wins.
 - Take the union of the connected components of a_1, \dots, a_{i-1} in M .
 - Inductively, they are \equiv_{n-i+2} -equivalent to those of b_1, \dots, b_{i-1} in N .
 - If the Spoiler plays in an already considered connected component, fine.
 - Otherwise, recall the lemma: $M_D \models \mu(\varphi) \Leftrightarrow M \models \text{Con}(\varphi)$.

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Proof strategy.

- As the class is pseudoelementary, it is enough to work with M_D, N_D .
- Play the Ehrenfeucht-Fraïssé game of length n . Show the Duplicator wins.
 - Take the union of the connected components of a_1, \dots, a_{i-1} in M .
 - Inductively, they are \equiv_{n-i+2} -equivalent to those of b_1, \dots, b_{i-1} in N .
 - If the Spoiler plays in an already considered connected component, fine.
 - Otherwise, recall the lemma: $M_D \models \mu(\varphi) \Leftrightarrow M \models \text{Con}(\varphi)$.
 - Use the lemma to copy the \equiv_{n-i+1} -class of the component of the new point.

Since M_D, N_D are actual reducts, one is free to remove the witness of \exists from $\mu(\varphi)$.

Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$. Then $A \equiv B$ iff they satisfy the same $\mu(\varphi)$'s.

Proof strategy.

- As the class is pseudoelementary, it is enough to work with M_D, N_D .
- Play the Ehrenfeucht-Fraïssé game of length n . Show the Duplicator wins.
 - Take the union of the connected components of a_1, \dots, a_{i-1} in M .
 - Inductively, they are \equiv_{n-i+2} -equivalent to those of b_1, \dots, b_{i-1} in N .
 - If the Spoiler plays in an already considered connected component, fine.
 - Otherwise, recall the lemma: $M_D \models \mu(\varphi) \Leftrightarrow M \models \text{Con}(\varphi)$.
 - Use the lemma to copy the \equiv_{n-i+1} -class of the component of the new point.

Since M_D, N_D are actual reducts, one is free to remove the witness of \exists from $\mu(\varphi)$.

- Works if natural numbers are standard. Otherwise more care is needed.

Essentially, replace 'connected component' with 'what the model thinks is a connected component'.



Countable nonelementarity

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph? Same question for M_D .

Countable nonelementarity

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph? Same question for M_D .

Theorem (Adam-Day, Howe, M.)

No. No.

Countable nonelementarity

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph? Same question for M_D .

Theorem (Adam-Day, Howe, M.)

No. No.

Recall:

- Gaifman graph: join two points of a structure iff they are in relation.

Countable nonelementarity

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph? Same question for M_D .

Theorem (Adam-Day, Howe, M.)

No. No.

Recall:

- Gaifman graph: join two points of a structure iff they are in relation.
- Gaifman balls: balls in this graph.

Countable nonelementarity

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph? Same question for M_D .

Theorem (Adam-Day, Howe, M.)

No. No.

Recall:

- Gaifman graph: join two points of a structure iff they are in relation.
- Gaifman balls: balls in this graph.
- $\psi[n, r] := \exists^{\geq n}$ pointed r -balls, far apart, satisfying the relativisation of $\psi(x)$ '.

Countable nonelementarity

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph? Same question for M_D .

Theorem (Adam-Day, Howe, M.)

No. No.

Recall:

- Gaifman graph: join two points of a structure iff they are in relation.
- Gaifman balls: balls in this graph.
- $\psi[n, r] := \text{'}\exists^{\geq n} \text{ pointed } r\text{-balls, far apart, satisfying the relativisation of } \psi(x)\text{'}$.
- Gaifman's Theorem: $M \equiv N$ iff they satisfy the same $\psi[n, r]$'s.

Countable nonelementarity

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph? Same question for M_D .

Theorem (Adam-Day, Howe, M.)

No. No.

Recall:

- Gaifman graph: join two points of a structure iff they are in relation.
- Gaifman balls: balls in this graph.
- $\psi[n, r] := \text{‘}\exists^{\geq n} \text{ pointed } r\text{-balls, far apart, satisfying the relativisation of } \psi(x)\text{’}$.
- Gaifman's Theorem: $M \equiv N$ iff they satisfy the same $\psi[n, r]$'s.

Proof for M_D .

M_D has a connected component of infinite diameter. Build N as disconnected pieces satisfying the correct $\psi[1, r]$'s. Each has finite diameter. □

Countable nonelementarity: the difficult case

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph?

The same trick won't work: M_{SD} is one ball of diameter 2.

You cannot add a generic Random Graph to the previous N : no elimination of \exists^∞ , Chatzidakis-Pillay does not apply.

Countable nonelementarity: the difficult case

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph?

The same trick won't work: M_{SD} is one ball of diameter 2.

You cannot add a generic Random Graph to the previous N : no elimination of \exists^∞ , Chatzidakis-Pillay does not apply.

Theorem (Hanf)

$M \equiv_n N$ by counting 3^n -balls **provided their size is uniformly bounded.**



Countable nonelementarity: the difficult case

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph?

The same trick won't work: M_{SD} is one ball of diameter 2.

You cannot add a generic Random Graph to the previous N : no elimination of \exists^∞ , Chatzidakis-Pillay does not apply.

Theorem (Hanf)

$M \equiv_n N$ by counting 3^n -balls provided their size is uniformly bounded.

proof of Hanf's Theorem: back-and-forth system I_n, \dots, I_0

$$I_j := \{a_1, \dots, a_k \mapsto b_1, \dots, b_k \mid k \leq n-j, B(3^{j-1}/2, a_1, \dots, a_k) \cong B(3^{j-1}/2, b_1, \dots, b_k)\}$$



Countable nonelementarity: the difficult case

Question

$M_{SD} \equiv N$, both countable. Is N an SD-graph?

The same trick won't work: M_{SD} is one ball of diameter 2.

You cannot add a generic Random Graph to the previous N : no elimination of \exists^∞ , Chatzidakis-Pillay does not apply.

Theorem (Hanf)

$M \equiv_n N$ by counting 3^n -balls provided their size is uniformly bounded.

Answer.

Let N be M_{SD} without the connected components of infinite diameter.

Add a twist to the proof of Hanf's Theorem: back-and-forth system I_n, \dots, I_0

$$I_j := \{a_1, \dots, a_k \mapsto b_1, \dots, b_k \mid k \leq n-j, B(3^{j-1}/2, a_1, \dots, a_k) \cong B(3^{j-1}/2, b_1, \dots, b_k)\}$$

where the isomorphisms are in L_{SD} **but** the balls are with respect to L_D .

To show back-and-forth, write suitable flat systems in M . □

Concluding remarks

In conclusion: D-graphs are quite wild. SD-graphs are worse.
Ideas from finite model theory help to understand them.

Concluding remarks

In conclusion: D-graphs are quite wild. SD-graphs are worse.
Ideas from finite model theory help to understand them.

Open Problems

1. Axiomatise the theory of the M_D 's.

Concluding remarks

In conclusion: D-graphs are quite wild. SD-graphs are worse.
Ideas from finite model theory help to understand them.

Open Problems

1. Axiomatise the theory of the M_D 's.
2. Axiomatise the theory of the M_{SD} 's.

Concluding remarks

In conclusion: D-graphs are quite wild. SD-graphs are worse. Ideas from finite model theory help to understand them.

Open Problems

1. Axiomatise the theory of the M_D 's.
2. Axiomatise the theory of the M_{SD} 's.
3. Characterise the completions of the latter.

Concluding remarks

In conclusion: D-graphs are quite wild. SD-graphs are worse.
Ideas from finite model theory help to understand them.

Open Problems

1. Axiomatise the theory of the M_D 's.
2. Axiomatise the theory of the M_{SD} 's.
3. Characterise the completions of the latter.
4. ZFA with Infinity replaced by its negation? Problem: transitive closure.

Concluding remarks

In conclusion: D-graphs are quite wild. SD-graphs are worse.
Ideas from finite model theory help to understand them.

Open Problems

1. Axiomatise the theory of the M_D 's.
2. Axiomatise the theory of the M_{SD} 's.
3. Characterise the completions of the latter.
4. ZFA with Infinity replaced by its negation? Problem: transitive closure.

Thanks for your attention!

Want to see what was swept under the rug?



Rieger-Bernays permutation models

Proposition (Adam-Day, Howe, M.)

Let G be a graph in $M \models \text{ZFC}$. There is $N \models \text{ZFC} \setminus \{\text{Foundation}\}$ such that N_D is isomorphic to G plus infinitely many isolated points. In particular M_S can have an arbitrary number of points with loops.

Proof.

WLOG $\text{dom } G = \kappa$. Define $N \models x \in y \iff M \models x \in \pi(y)$, where π is the permutation swapping $a_i := \kappa \setminus \{i\}$ with $b_j := \{a_i \mid G \models R(i, j)\}$. Then

$$N \models a_i \in a_j \iff M \models a_i \in \pi(a_j) = b_j \iff G \models R(i, j)$$

and by choice of a_i and b_i there are no other D -edges.

It is an old result that $N \models \text{ZFC} \setminus \{\text{Foundation}\}$. □