

# Double-membership graphs of models of Anti-Foundation

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joint work with Bea Adam-Day and John Howe

University of Leeds

Logic Seminar

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SPAM

# BPGMTC20

(British Postgraduate Model Theory Conference)

Leeds, 8–10 January 2020

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\* Offer subject to availability.

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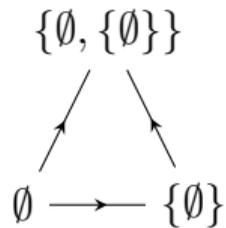
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Plan of the talk:

- Set-up: double-membership graphs; Anti-Foundation.
- Untameness: why these graphs are (very) wild.
- Games: how ideas from finite model theory help.

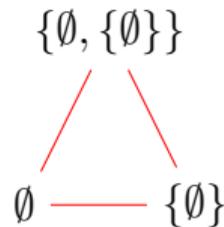
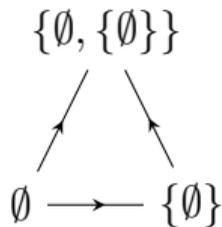
## Membership graphs

A model  $M$  of set theory is a digraph.



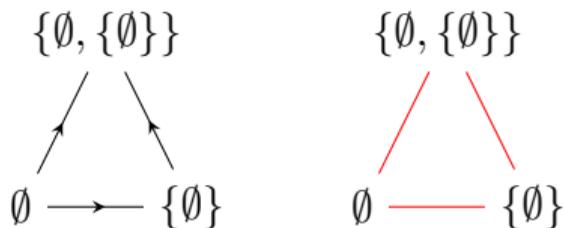
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### Fact (Folklore (Gaifman?))

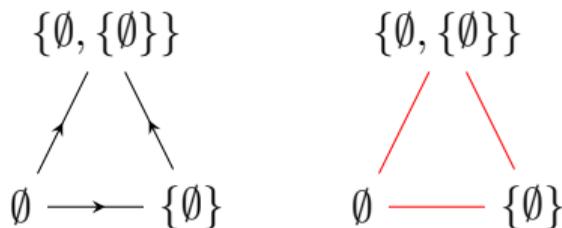
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### Proof.

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How much set theory does  $M$  need? Emptyset, Pairing, Union, and **Foundation**.

Foundation: no infinite descending  $\in$ -sequences. In particular, no  $x \in x$ , no  $x \in y \in x$ .

What happens without Foundation?

## Double-membership

### Definition

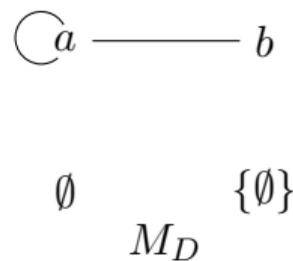
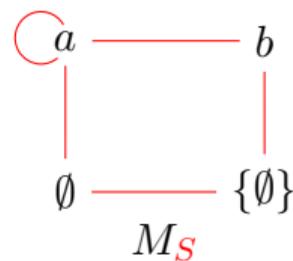
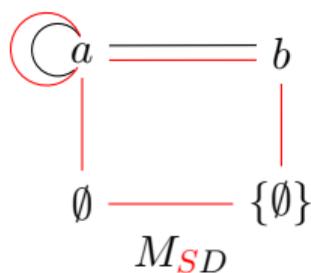
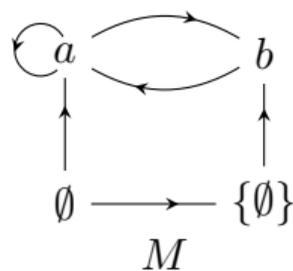
Let  $M$  be an  $\{\in\}$ -structure.  $S(x, y) := x \in y \vee y \in x$        $D(x, y) := x \in y \wedge y \in x$ .  
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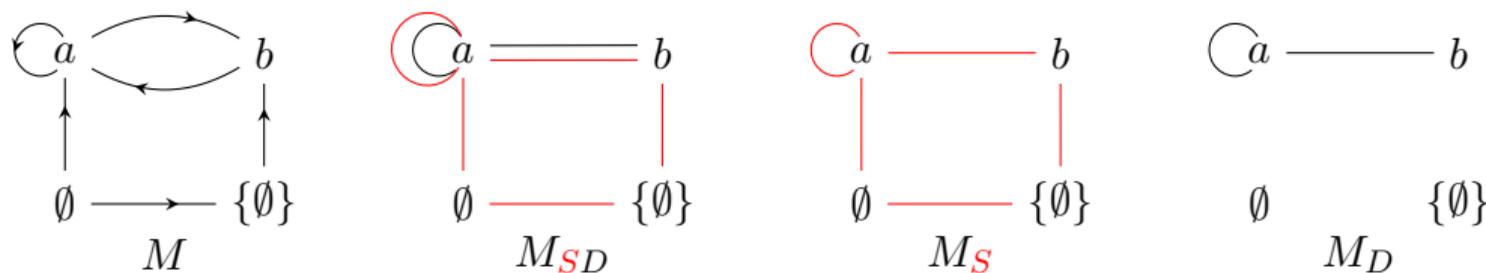


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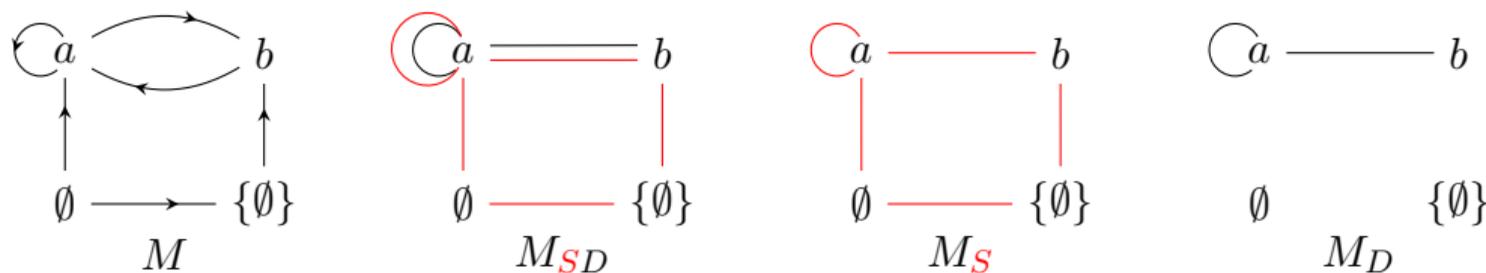


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From now on graph=loopy graph: points are allowed to have an edge to themselves.

### Proposition (Adam-Day, Howe, M.)

Let  $G$  be a graph in  $M \models \text{ZFC}$ . There is  $N \models \text{ZFC} \setminus \{\text{Foundation}\}$  such that  $N_D$  is isomorphic to  $G$  plus infinitely many isolated points. In particular  $M_S$  can have an arbitrary number of points with loops. Proof

## Anti-Foundation Axiom

So we need structure. **AFA**: allow non-well-founded sets (e.g.  $x \in x$ ), but in a way controlled by the well-founded ones. Allow ‘Mostowski collapse for all relations’.

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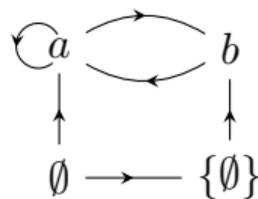
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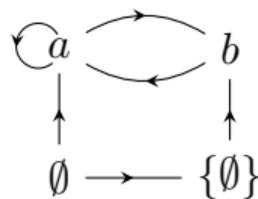
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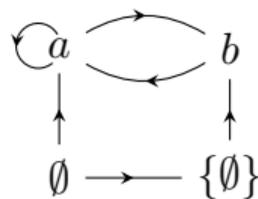
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Fact (Aczel; Forti, Honsell)

ZFA is equiconsistent with ZFC.

## Summary of results

Starting point:

### Theorem (Adam-Day, Cameron)

If  $M \models \text{ZFA}$  is countable, then  $M_S$  is the Fraïssé limit of finite loopy graphs.  $M_{SD}$  and  $M_D$  are not  $\omega$ -categorical: every finite graph embeds as a union of connected components in  $M_D$ .

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Questions that were asked:

1. Are there infinitely many countable models of  $\text{Th}(M_{SD})$ ? Of  $\text{Th}(M_D)$ ?
2. Are there infinitely many countable  $M_{SD}$ ?  $M_D$ ?
3. Infinite connected components of  $M_D$ ?
4. ZFA with Infinity replaced by its negation?
5.  $M_{SD} \equiv N$ , both countable. Is  $N$  an SD-graph? Same for  $M_D$ .

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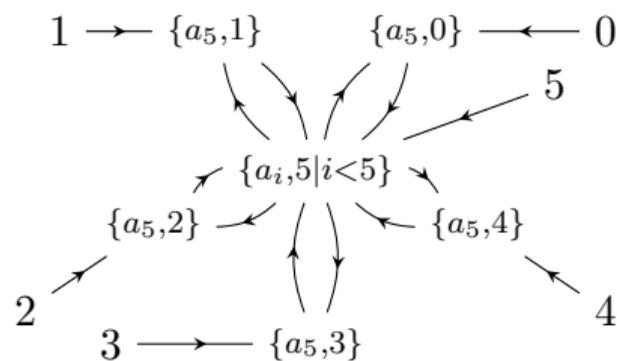
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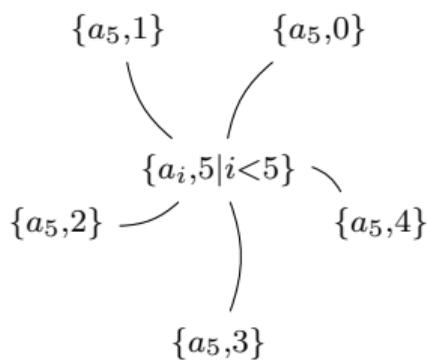
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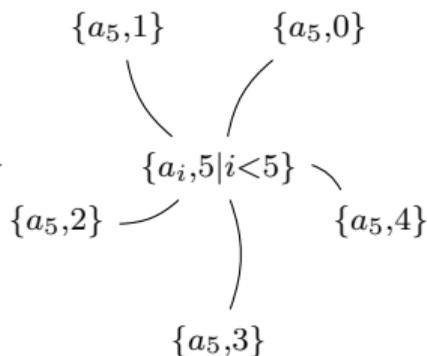
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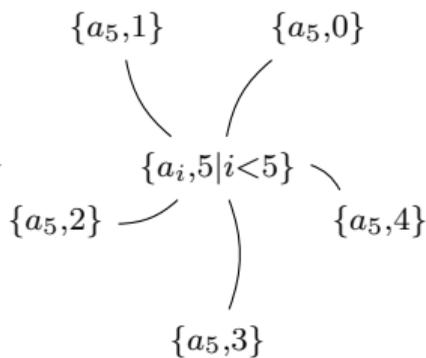
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### Corollary (Adam-Day, Howe, M.)

There are  $2^{\aleph_0}$  countable  $M_D$ . Each of their theories has  $2^{\aleph_0}$  countable models.

### Proof.

For every  $A \subseteq \omega \setminus \{0\}$ , consider ‘I have a neighbour of degree  $n$  iff  $n \in A$ ’. □

## The root of all evil

It turns out that  $M_D$  is horribly complicated. This is the main reason.

### Definition

Let  $\varphi$  be a  $\{D\}$ -sentence implying  $D$  is symmetric. Relativise  $\exists y$  and  $\forall y$  to  $D(x, y)$  and call the result  $\chi(x)$ . Define  $\mu(\varphi) := \exists x (\neg D(x, x) \wedge \chi(x))$ .

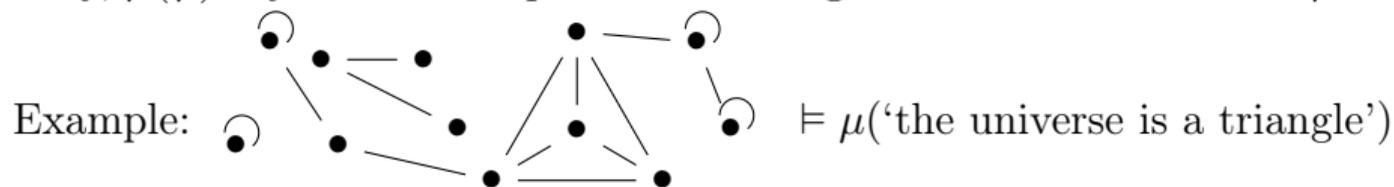
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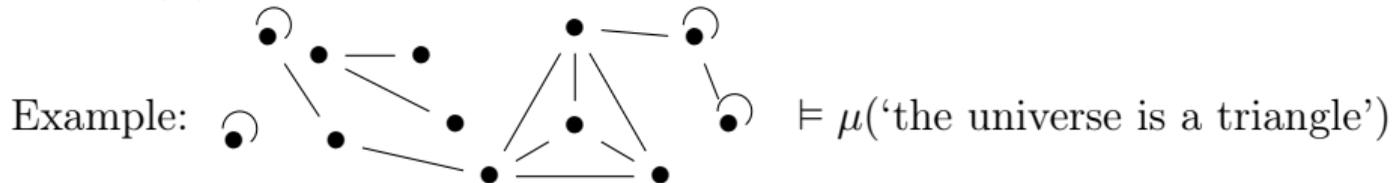
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$$M_D \models \mu(\varphi) \Leftrightarrow M \models \text{Con}(\varphi)$$

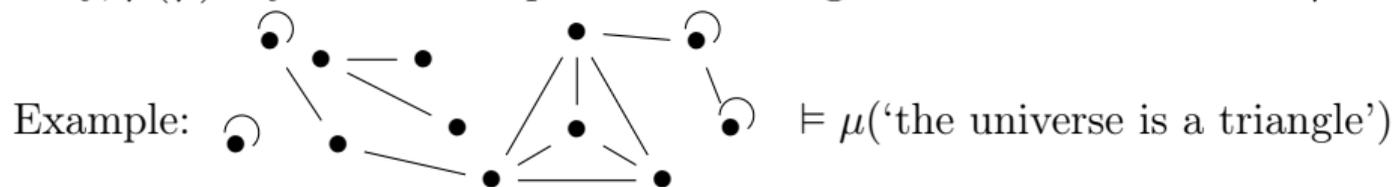
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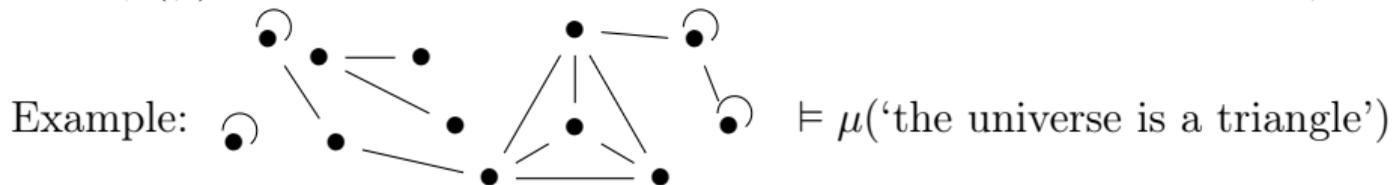
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### Proof.

Add/remove a point to/from a graph and use the previous theorem. □

## The evil that graphs do

### Corollary (Adam-Day, Howe, M.)

$\text{Th}(M_D)$  interprets with parameters arbitrary finite fragments of ZFC.  
In particular it has SOP,  $\text{TP}_2$ ,  $\text{IP}_k$  for all  $k$ , you name it.

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### Proof.

1. Rosser: there is a  $\Pi_1^0$  arithmetical statement independent of ZFC/ZFA.

Rosser's Theorem=Refined version of Gödel Incompleteness.

2. Friedman-Harrington: every  $\Pi_1^0$  statement is equivalent to some  $\text{Con}(\theta)$ .
3. Translate  $\theta$  into a formula  $\varphi$  of graphs (graphs interpret anything!).
4. Consider  $\mu(\varphi)$ .



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### Example



Duplicator has a winning strategy for the game of length 2;

but not for the game of length 3.

## Reminder: Ehrenfeucht-Fraïssé games

- Two players: Spoiler and Duplicator.
- Fix *relational* structures  $M, N$  and length  $n$  of the game.
- Turn  $i$ : Spoiler plays  $a_i \in M$  or  $b_i \in N$ , Duplicator plays in the other structure.
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### Fact

$\equiv_n$ -classes are characterised by a single formula. (The language is relational!)

## Completions

Theorem (Adam-Day, Howe, M.)

$A, B \models \text{Th}(\{M_D \mid M \models \text{ZFA}\})$ . Then  $A \equiv B$  iff they satisfy the same  $\mu(\varphi)$ 's.

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- Works if natural numbers are standard. Otherwise more care is needed.

Essentially, replace 'connected component' with 'what the model thinks is a connected component'.



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### Proof for $M_D$ .

$M_D$  has a connected component of infinite diameter. Build  $N$  as disconnected pieces satisfying the correct  $\psi[1, r]$ 's. Each has finite diameter. □

## Countable nonelementarity: the difficult case

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The same trick won't work:  $M_{SD}$  is one ball of diameter 2.

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proof of Hanf's Theorem: back-and-forth system  $I_n, \dots, I_0$

$$I_j := \{a_1, \dots, a_k \mapsto b_1, \dots, b_k \mid k \leq n-j, B(3^{j-1}/2, a_1, \dots, a_k) \cong B(3^{j-1}/2, b_1, \dots, b_k)\}$$



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### Answer.

Let  $N$  be  $M_{SD}$  without the connected components of infinite diameter.

Add a twist to the proof of Hanf's Theorem: back-and-forth system  $I_n, \dots, I_0$

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where the isomorphisms are in  $L_{SD}$  **but** the balls are with respect to  $L_D$ .

To show back-and-forth, write suitable flat systems in  $M$ . □

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In conclusion: D-graphs are quite wild. SD-graphs are worse.  
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Thanks for your attention!

Want to see what was swept under the rug?



## Rieger-Bernays permutation models

### Proposition (Adam-Day, Howe, M.)

Let  $G$  be a graph in  $M \models \text{ZFC}$ . There is  $N \models \text{ZFC} \setminus \{\text{Foundation}\}$  such that  $N_D$  is isomorphic to  $G$  plus infinitely many isolated points. In particular  $M_S$  can have an arbitrary number of points with loops.

### Proof.

WLOG  $\text{dom } G = \kappa$ . Define  $N \models x \in y \iff M \models x \in \pi(y)$ , where  $\pi$  is the permutation swapping  $a_i := \kappa \setminus \{i\}$  with  $b_j := \{a_i \mid G \models R(i, j)\}$ . Then

$$N \models a_i \in a_j \iff M \models a_i \in \pi(a_j) = b_j \iff G \models R(i, j)$$

and by choice of  $a_i$  and  $b_i$  there are no other  $D$ -edges.

It is an old result that  $N \models \text{ZFC} \setminus \{\text{Foundation}\}$ . □