

# Shor's algorithm

Problem: given  $N = p \cdot q$ ,  $p \neq q$  primes, find  $p$  and  $q$ .

Best classical algorithm (NFS) requires  $O(\exp(c(\log N)^{1/3} \log \log N))$ .

Shor's algorithm (1994) requires only  $O((\log N)^3 \log \log N)$  of classical and quantum elementary operations using  $O(\log N)$  qubits.

By comparison, to check whether  $l \mid N$  for some  $l < N$  requires  $O(\log N)$  steps; to compute  $\gcd(l, N)$  requires (by Euclid's algorithm)  $O((\log N)^2)$  steps.

The output is random, so one can prove that  $\forall \epsilon \in (0, 1)$  using  $O(C_\epsilon \log \log N (\log N)^3)$  steps we obtain the factors of  $N = p \cdot q$  with probability  $\geq 1 - \epsilon$ .

Notice that we can always assume  $N$  odd.

Key idea: we reduce the factorization problem to that of determining the period  $\pi$  of a function  $f: \mathbb{N} \rightarrow \mathbb{N}$ .

The function  $f$  will be  $f_{l, N}(m) = l^m \bmod N$ ,  $f_{l, N}: \mathbb{N} \rightarrow \{0, 1, \dots, N-1\}$  for some  $l < N$ . The period of  $f_{l, N}$  is  $\pi = \text{ord}_N(l)$  (we need  $\gcd(l, N) = 1$ ). In a classical way, it requires  $O(N)$  steps to find  $\pi$ .

Shor's algorithm requires  $O((\log N)^3 \log \log N)$  quantum and classical steps to find  $\pi$  with probability  $\geq 1 - \epsilon$ .

## Full Shor's algorithm

Input:  $N$  (with at least two distinct prime factors).

Output: two non-trivial factors of  $N$ .

Step 1 (selection of  $l$ ): pick randomly  $1 < l < N$  and compute  $\gcd(l, N)$ . If it is  $> 1$ , we're done; otherwise, go to Step 2.

Step 2: use a quantum routine to compute the period  $\pi$  of  $f_{l, N}$ . If  $\pi$  is odd, go back to Step 1; otherwise, go to Step 3.

Step 3: compute  $\gcd(l^{\pi/2} + 1, N)$ . If it is  $= N$ , go back to Step 1; otherwise, we found a non-trivial factor: output  $\gcd(l^{\pi/2} + 1, N)$ ,  $\gcd(l^{\pi/2} - 1, N)$ .

Why Step 3: we found  $l^\pi \equiv 1 \pmod N \Rightarrow (l^{\pi/2} + 1)(l^{\pi/2} - 1) \equiv 0 \pmod N$ , but  $l^{\pi/2} \not\equiv 1 \pmod N$  because  $\pi/2 < \pi = \text{ord}_N(l)$ , so  $N \mid (l^{\pi/2} + 1)(l^{\pi/2} - 1)$  but  $N \nmid l^{\pi/2} - 1 \Rightarrow \gcd(l^{\pi/2} + 1, N) > 1$ .

Theorem (6.11 of the book): let  $N = \prod_{j=1}^r p_j^{u_j}$ ,  $p_j$  different odd primes,  $u_j \geq 1$ .

Let  $\Omega = \{c \in \{0, 1, \dots, N-1\} \mid \gcd(c, N) = 1\}$ . We have:

- $\#\Omega = \phi(N)$ ;   
  $\hookrightarrow$  Euler's totient function
- $\#\{l \in \mathbb{N} \mid \text{ord}_N(l) = \pi \text{ is even and } N \nmid (l^{\pi/2} + 1)\} \geq \phi(N)(1 - 1/2^{r-1})$ .

Fact:  $\exists c > 0$  s.t.  $\phi(m)/m \geq \frac{c}{\log \log m}$  for large  $m$ .

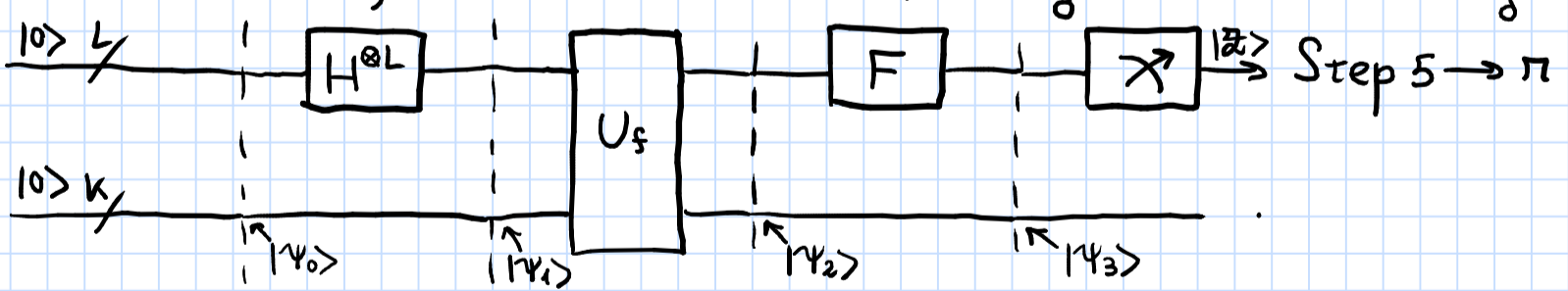
Let's focus on Step 2.

Problem: given  $f: \mathbb{N} \rightarrow \mathbb{N}$  periodic with unknown period  $\pi$ , compute it assuming:

- $L \geq 2$  s.t.  $\pi < 2^{L/2}$  (in our case,  $L = \lfloor 2 \log_2 N \rfloor + 1$ );
- $f|_{\{0, 1, \dots, \pi-1\}}$  injective (in our case, ok) and  $\exists K \geq 1$  s.t.  $f(m) < 2^K$  (in our case,  $K \approx \log_2 N$ );
- $U_f: \mathbb{H}^L \otimes \mathbb{H}^K \rightarrow \mathbb{H}^L \otimes \mathbb{H}^K$  is implemented using  $O(L^c)$  elementary gates (in our case  $f = f_{l, N}$  requires  $O((\log N)^3)$  steps).

Then we can find the period  $\pi$  of  $f$  with probability at least  $c'/\log L$  using  $O(L^{\max\{c, 3\}})$  elementary gates and classical elementary operations (where  $c' = 1/10$  is a universal constant).

Remark: to find  $\pi$  with probability  $\geq 1/2$ , we repeat until we succeed; we need  $n$  trials s.t.  $(1 - c'/\log L)^n \leq 1/2 \Rightarrow n \geq \log L$ .



Do the calculations  $\rightsquigarrow z \in \{0, 1, \dots, 2^{L/2} - 1\}$  with probability

$$P(z) = \langle A_z | \psi_3 \rangle = \|A_z\|^2, \quad A_z = |z\rangle \langle z| \otimes |k\rangle$$

$$P(z) = \begin{cases} \frac{1}{2^{2L}} \sum_{k=0}^{\pi-1} (\mathcal{J}_k + 1)^2 & \text{if } \frac{z\pi}{2^L} \in \mathbb{N} \text{ (}\mathcal{J}_k \text{ defined as needed)} \\ \text{monster} & \text{otherwise.} \end{cases}$$

In the first case, we have  $P(z) \geq \frac{1}{2^{2L}} \cdot \pi \left(\frac{2^L}{\pi}\right)^2 \approx \frac{1}{\pi}$ .

Similarly, if  $z$  is s.t.  $|z\pi/2^L - l| \leq \frac{\pi}{2 \cdot 2^L}$  for some  $l \in \mathbb{N}$ ,

we have  $P(z) \geq c/\pi$  for some constant  $c > 0$ .

Step 5: from the theory of continued fractions

$$\frac{P}{Q} = a_0 + \frac{1}{a_1 + \frac{1}{\ddots + \frac{1}{a_n}}}$$

we have that if  $z$  is s.t. (\*) holds, then  $l/\pi$  will be one among the numbers  $\{[a_0, a_1, \dots, a_j]\}_{j=0}^n$  where

$$[a_0, a_1, \dots, a_n] = \frac{z}{2^L}$$

So  $\pi$  will be one of the denominators in this set provided that  $\gcd(l, \pi) \stackrel{(**)}{=} 1$ .

Lemma:  $\sum_{\substack{z \text{ s.t.} \\ (*), (**)}} P(z) \geq \frac{c}{\log L}$ .